## **RioTinto**

## **Rio Tinto Beneficial Ownership**

The Rio Tinto Group consists of Rio Tinto plc, which is registered in England and Wales, and Rio Tinto Limited, which is registered in Australia. The principal market for Rio Tinto plc shares is the London Stock Exchange, with Rio Tinto plc American Depositary Receipts also listed on the New York Stock Exchange. Rio Tinto Limited shares are listed on the Australian Securities Exchange.

Under the UK Disclosure and Transparency Rules and the Australian Corporations Act 2001, any shareholder of Rio Tinto plc with voting rights of 3% or more, or any person with voting power of 5% or more in Rio Tinto Limited, is required to provide the relevant company with notice.

The shareholders who have provided this notice or an equivalent as of 3 February 2023 are:

Rio Tinto company	Date of notice	Number of shares	Percentage of capital
Rio Tinto plc			
BlackRock, Inc. <sup>1</sup>	4 Dec 2009	127,744,871	8.38
Shining Prospect Pte. Ltd	7 Dec 2018	182,550,329	14.02 <sup>2</sup>
The Capital Group Companies, Inc.	6 Jul 2022	51,648,733	4.13
Rio Tinto Limited			
BlackRock, Inc.	26 May 2022	see footnote4	see footnote4
The Vanguard Group, Inc.	11 Apr 2022	18,564,679	5.00
State Street Corporation	8 Nov 2021	19,832,353	5.34
Shining Prospect Pte. Ltd	9 Feb 2018	see footnote <sup>5</sup>	see footnote <sup>5</sup>

- 1. On 7 February 2022, BlackRock, Inc. filed an Amendment to Schedule 13G with the SEC and disclosed beneficial ownership of 108,907,723 ordinary shares in Rio Tinto plc as of 31 December 2021, representing 8.7% of that class of shares.
- 2. In its notification of major holdings filed on 7 December 2018, Shining Prospect Pte. Ltd, a Singapore-based entity owned by Chinalco (Aluminium Corporation of China), disclosed that its percentage of voting rights in Rio Tinto plc had increased to 14.02% on 18 October 2018. This was due to the on-market share buy-back programme of Rio Tinto plc shares and the number of shares held by Shining Prospect Pte. Ltd has remained unchanged.
- 3. The percentage of voting rights detailed was as disclosed in the notice received by the company, calculated at the time of the relevant disclosure.
- 4. In its substantial holding notice filed on 26 May 2022, BlackRock, Inc. and its associates disclosed a holding of 130,673,276 shares in Rio Tinto plc and 27,921,718 shares in Rio Tinto Limited, which gave BlackRock, Inc. and its associates voting power of 9.78% in the Rio Tinto Group on a Joint Decision Matter. Accordingly, in addition to being substantial shareholders of Rio Tinto Limited by virtue of interests held in Rio Tinto Limited's shares, through the operation of the Australian *Corporations Act 2001* as modified to apply to the DLC structure, these entities disclosed voting power of 9.78% in Rio Tinto Limited. Based on this notification, as at 26 May 2022, BlackRock, Inc. held a 7.52% interest in Rio Tinto Limited. On 1 February 2022, BlackRock, Inc. filed an Amendment to Schedule 13G with the SEC and disclosed beneficial ownership of 24,143,271 ordinary shares in Rio Tinto Limited as of 31 December 2021, representing 6.5% of that class of shares.
- 5. In its substantial holding notice filed on 9 February 2018, Shining Prospect Pte. Ltd disclosed that its holding of 182,550,329 Rio Tinto plc shares gave Shining Prospect Pte. Ltd and its associates voting power of 10.32% in the Rio Tinto Group on a Joint Decision Matter. Accordingly, through the operation of the Australian *Corporations Act 2001* as modified to apply to the DLC structure, these disclosed voting power of 10.32% in Rio Tinto Limited.

## **RioTinto**

As far as is known, Rio Tinto plc and Rio Tinto Limited are not directly or indirectly owned or controlled by another corporation or by any government or natural person. Rio Tinto is not aware of any arrangement that may result in a change in control of Rio Tinto plc or Rio Tinto Limited. No shareholder possesses voting rights that differ from those attaching to Rio Tinto plc's and Rio Tinto Limited's securities.

Further information on Rio Tinto's regulatory news and filings can be obtained at https://www.riotinto.com/media/regulatory-news-and-filings-4996.aspx.

It is intended that this information be updated each year following the release of the Rio Tinto Annual Report.